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International Species Management Plan for the Svalbard Population of the Pink-footed Goose

Anser brachyrhynchus







# Agreement on the Conservation of African-Eurasian Migratory Waterbirds (AEWA)

# **International Species Management Plan for the Svalbard Population of the Pink-footed Goose**

Anser brachyrhynchus

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*Prepared by* **The Aarhus University, Roskilde, Denmark** 

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Pink-footed Goose Anser brachyrhynchus © Magnus Elander (www.magnuselander.com)

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# Preface

This International Species Management Plan for the Svalbard Population of the Pink-footed Goose (*Anser brachyrhynchus*) has been jointly initiated by the Aarhus University and the UNEP/AEWA Secretariat and was compiled by Jesper Madsen and James Williams (Aarhus University). Financial support for the entire process has been provided by the Norwegian Directorate for Nature Management. The drafts of the plan went through rigorous consultations including comments from experts, the Range States and the AEWA Technical Committee. The fourth and final draft was adopted by the 5<sup>th</sup> Session of the Meeting of the Parties to AEWA in May 2012. This Management Plan broadly follows the revised format for Single Species Action Plans approved by the 4<sup>th</sup> Session of the Meeting of the Parties to AEWA in September 2008; however, certain modifications were introduced to the format in order to accommodate a different framework required by management plans.

# **Executive Summary**

The African-Eurasian Waterbird Agreement (AEWA) calls for means to manage populations which cause conflicts with certain human economic activities. The Svalbard population of the Pink-footed Goose *Anser brachyrhynchus* has been selected as the first test case for such an international species management plan to be developed. The Pink-footed Goose is classified as having a "Favourable Conservation Status" within Europe and a species of "Least Concern" using IUCN's global Red List criteria. Numbers of the Svalbard-breeding population of Pink-footed Goose, although the smaller of the two biogeographical populations, have increased considerably over the past decades, reaching an estimated population size of 69,000 individuals in 2010. The continued growth of the Svalbard population is a conservation success story, yet its increasing population size, along with other goose species, has progressively brought them into conflict with agricultural interests as well as having other environmental and social implications.

A number of key management issues have been identified in relation to the Svalbard population but the most pressing is considered to be the potential for an escalation in agricultural conflicts. Agricultural conflicts have been registered throughout the population's current flyway (Norway, Denmark, the Netherlands and Belgium), in particular with an increase in conflicts noted in Norway during spring, whilst in the southern range states, the conflicts caused by Pink-footed Geese are considered more stable. Furthermore, there is concern about degradation of vulnerable tundra vegetation in Svalbard due to increasing goose grazing intensities.

This document outlines the status of the population, the proposed goal, objectives and management framework for such an international species management plan based on the principals of adaptive management. This framework is intended to provide systematic monitoring and evaluation of management actions and their impacts, in order to learn and adapt.

The goal of this international species management plan is:

To maintain the favourable conservation status of the Svalbard Pink-footed Goose population at flyway level while taking into account economic and recreational interests.

To achieve this goal the following set of objectives has been established, in consultation with national authorities and key stakeholders:

- I. Maintain a sustainable and stable Pink-footed Goose population and its range.
- II. Keep agricultural conflicts to an acceptable level.
- III. Avoid increase in tundra vegetation degradation in the breeding range.
- IV. Allow for recreational use that does not jeopardise the population.

To attain the objectives the following key actions are regarded as essential:

- 1. Implement an adaptive management framework and modelling concept for the flyway population.
- 2. Maintain a population size of around 60,000, within a range to prevent the population to collapse or irrupt, respectively. To be agreed and reviewed on the basis of rigorous scientific evaluation and stakeholder consultations as part of the adaptive management process.
  - a. Optimise hunting regulations and practises to regulate the population size if needed and in range states where hunting is permitted.
  - b. Prevent establishment of breeding colonies on mainland Norway.

- 3. Ensure sustainable hunting where practised (at present in Norway and Denmark) and following 'wise use' principals, whilst ensuring that crippling rates are kept at a minimum level.
- 4. Maintain and enhance spatial management to ensure that Pink-footed Geese can fulfil their ecological requirements throughout their annual cycle and allowing for their natural annual migration pattern. Any of the following measures should not jeopardise this:
  - a. Agricultural/environmental policies and subsidy schemes which adversely impact the above (those that result in significant habitat loss e.g. conversion of traditional feeding grounds to other non-beneficial agricultural crops).
  - b. Land use and agricultural practices which unduly influence the ecological requirements of the geese.
  - c. Containment and exclusion tactics (provision of goose feeding areas, scaring, shooting) which unduly influence population distribution and dynamics.
  - d. Recreational activities and infrastructure development.
- 5. Support the evaluation and optimisation of national and regional compensation/subsidy schemes, or accommodation policies and alternative non-consumptive methods to minimise agricultural conflicts in the range countries.
- 6. Support 'conflict mitigation' through the development of national and regional management plans that promote recreational uses such as tourism and hunting (where permitted or relevant).
- 7. Increase habitat available to Pink-footed Geese where there is no conflict (e.g. reduce disturbance on stubble fields in autumn or by restoration of grassland complexes which can reduce the feeding on crops or pastures).
- 8. Collect systematic data on the impact and extent of tundra degradation due to goose foraging in Svalbard.

It should be noted that although a key action is to maintain a target population, initially proposed as 60,000, this is based on current hypotheses and what is considered a desirable management outcome. This is subject to change based on mutual agreement by the range states, new scientific evaluation and learning as the adaptive process develops. In addition, as noted in the above key actions, non-consumptive methods of control are equally encouraged to alleviate agricultural conflicts.

For each of the stated objectives and key actions of the international species management plan a set of management actions and verifiable indicators have been proposed. These will need to be adopted and implemented, over the course of time, once the objectives have been agreed upon. Creation of the appropriate organisational and management structures to coordinate and guide international, national and local management strategies based on the principals of adaptive management are viewed as critical to the success of the plan. A proposed organisational structure is provided in Appendix 3. The terms of reference for the international coordinating body is to be defined and agreed upon, in consultation with the national responsible authorities from each range state, prior to implementation of the plan.

## 1. Introduction

The majority of goose populations breeding or wintering in Western Europe have increased considerably in numbers during recent decades (Madsen et al. 1999; Fox et al. 2010). This constitutes one of the major successes in European wildlife conservation history, ascribed to a combination of factors such as: a decrease in hunting pressure on the staging and wintering grounds, human persecution on the breeding grounds (e.g. spring hunting, egg collecting, culling of moulting geese), more refuge areas, improved winter feeding conditions and climate change (Kéry et al. 2006; Bauer et al. 2008). Geese are regarded as a highly valued recreational resource, beloved by birdwatchers and the general public and harvested by hunters in some countries. However, due to their concentration and foraging on farmland, the continued increase in numbers has also given rise to an escalation in agricultural conflict in the wintering and staging areas. In addition, in some Arctic regions, the increasing densities may result in an overexploitation of the vegetation causing long-term degradation of wet tundra habitats. Increasingly, it has been realised that successful management of these migratory populations requires international collaboration in order to achieve and maintain viable populations, whilst taking into account socio-economic interests. Yet in Europe flexible and coordinated conservation-management instruments/plans are not available to cater for this. In North America, adaptive flyway management of waterfowl has been implemented for more than a decade, mainly focussing on harvest management but in some cases, management plans have also included issues related to agricultural conflict mitigation and prevention of tundra degradation.

The African-Eurasian Waterbird Agreement (AEWA) is calling for means to manage populations which cause conflicts with certain human economic activities. Hence, Target 2.5 of the AEWA Strategic Plan for 2009-2017, adopted by the 4<sup>th</sup> Meeting of the Parties in September 2008, aims at ensuring that in the next decade at least two quarry populations will be managed in accordance with international adaptive harvest management plans. At the same time, paragraph 4.3.4 of the AEWA Action Plan calls upon Parties to cooperate on developing species action plans for populations causing significant damage, especially to crops and fisheries.

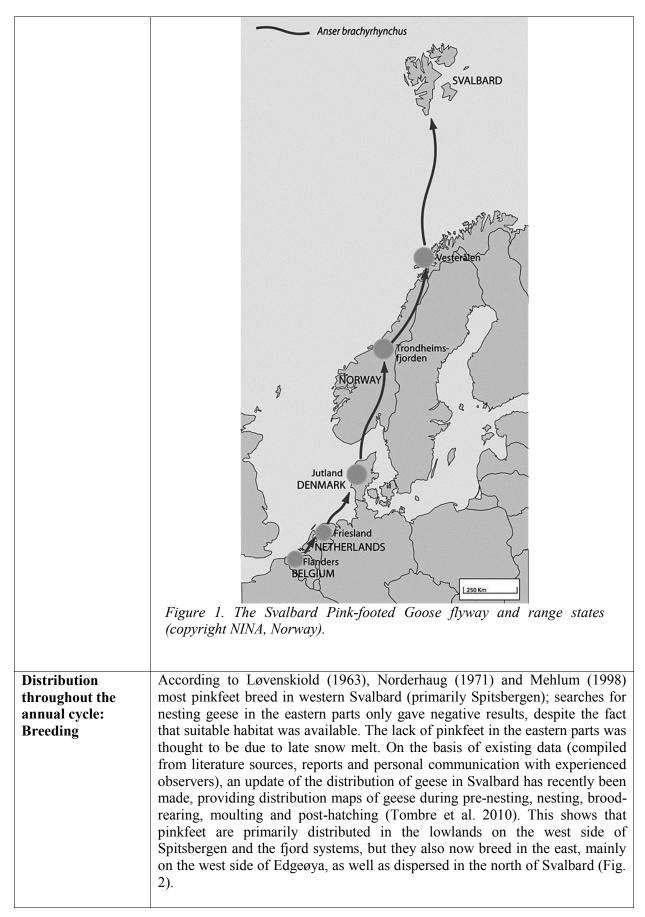
To realise the first plan in response to these two legal provisions of AEWA, the Secretariat initiated the development of an international species management plan for the Svalbard-breeding population of the Pink-footed Goose (*Anser brachyrhynchus*). The reason for choosing this population as a subject of the first AEWA international species management plan is that the population is increasing, hunted in some of its range states and is also a cause of conflict with agriculture. The population size is relatively small and is currently estimated at c. 60,000 birds; it has grown from ca. 15,000 in the mid-1960s. The implementation of an international species management plan is also considered realistic, since the population range covers only four countries (Norway, Denmark, the Netherlands and Belgium) sharing common conservation policies and having well-enforced regulations in place, although there are recognised differences in nature and agricultural management practises. Not least, this population is one of the best monitored and studied populations and one which is facing very concrete management issues. The AEWA Technical Committee and the four range states fully supported the choice of the Svalbard Pink-footed Goose population.

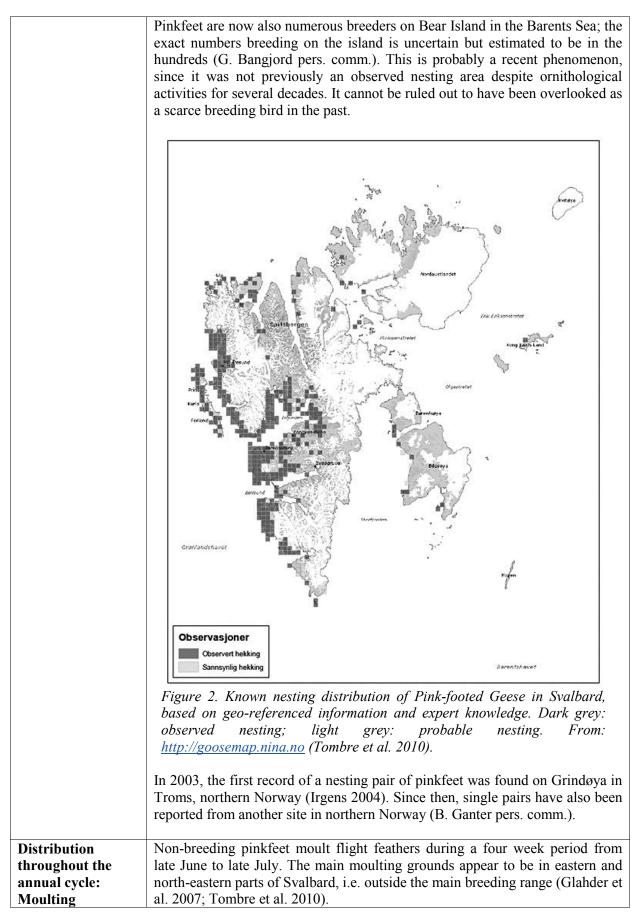
The management planning process was launched with a stakeholder workshop co-chaired by Norway and Denmark. It took place on 4-5 November 2010 in Dragør, near Copenhagen and was attended by 21 participants from the four range states and several international organisations. Dr. Fred A. Johnson from the US Geological Survey was specifically invited to present the North American experience in adaptive harvest management and to assist in shaping such an approach for the Pink-footed Goose.

The present draft summarises the biological status of the population, potential threats and management issues, conservation status and, finally, the goal, objectives and framework for action proposed on the basis of the stakeholder workshop in November 2010 and subsequent dialogue with the participants.

# 2. Biological Assessment

General	The population of Svalbard Pink-footed Geese is well studied, with monitoring
Information	of several variables to support an international species management plan. For
	an overview of ongoing monitoring activities, see Appendix 1.
Taxonomy and	Phylum: Chordata
Biogeographic	Class: Aves
Populations	Order: Anseriformes
	Family: Anatidae
	Genus: Anser (Linnaeus 1769)
	Species: Anser brachyrhynchus (Baillon 1834)
	Biogeographical population: Svalbard
	Two biogeographical populations of Pink-footed Geese (in short called 'pinkfeet') are recognised: The Iceland/East Greenland population wintering in the British Isles and the Svalbard population staging in Norway and wintering in Denmark, the Netherlands and Belgium (Fig. 1). On the basis of ring recoveries and resightings of neck-banded individuals, it has been estimated that there is an exchange of individuals between the two populations of 0.1- 0.7% per year (Ebbinge et al. 1984; Madsen et al. 1999). The exchange seems to increase in severe winters such as in 1995/96, 1996/97 and particularly 2009/10 when there was snow cover for an extended period from Denmark to Belgium (J. Madsen unpubl. data). Analyses of mtDNA from individuals from the two populations show that there is a low rate of gene flow, highest from the Svalbard population towards the Iceland/Greenland population (Ruokonen et al. 2005).





Distribution throughout the annual cycle: Autumn migration	Around mid-September pinkfeet depart from Svalbard and migrate to autumn staging areas in Trondheimsfjorden in mid Norway. Some flocks fly, more or less, directly to staging areas in west Jutland, Denmark or even Friesland in the Netherlands (Fig. 3). Flocks of pinkfeet have been observed making short stops in Vesterålen in north Norway, Helgeland and in south Norway. In south Sweden pinkfeet were previously scarce, but in recent years up to 750 geese (2008) have been recorded during October (Nilsson & Månsson 2010). The vast majority of pinkfeet migrate along the west coast of Norway, but some flocks have been observed migrating through the Baltic (L. Nilsson & J. Madsen unpubl. data). Flocks can stay in Norway until late November, but depart with the first snow cover.
Distribution throughout the annual cycle: Wintering	The wintering grounds are divided between Denmark, the Netherlands and Belgium, but the exchange between sites in the wintering areas is highly dynamic, depending on several factors such as weather conditions, levels of disturbance and food availability on the staging grounds (Fig. 3). In Denmark their numbers peak during October, but some flocks (increasingly over recent years) may stay behind and remain throughout the winter, depending on snow cover. In the Netherlands numbers peak during October-November, with geese showing a high degree of site fidelity to a relatively confined area in Friesland and in the Vlaardingen area in SW Holland, after which the majority migrate on to the Oostkustpolders, Flanders in Belgium (Kuijken & Meire 1987, 1996; Meire & Kuijken 1991; Meire et al. 1988). Pinkfeet show high site fidelity for this part of the coastal Polders, with only occasional occurrence in the IJzer valley in some winters. In the Oostkustpolders numbers peak during December-early January, followed by an early and fast northwards migration (Kuijken et al. 2005; Kuijken & Verscheure 2008). In mild winters the majority move northwards directly from Belgium to Denmark during January and in February-March the population is concentrated along the west coast of Denmark (Madsen et al. 1999). In harsh winters (e.g. 1996) significant numbers can return from early spring staging in Denmark to Flanders (Kuijken & Verscheure 2007). Pinkfeet occur in small numbers (in tens or hundreds) along the German Wadden Sea coast line (H. Kruckenberg pers. comm.) as well as in Mecklenburg where they mix with flocks of Bean Geese <i>Anser fabalis</i> and White-fronted Geese <i>Anser albifrons</i> (T. Heinecke pers. comm.). Historically pinkfeet wintered in large numbers along the German Wadden Sea coasts and on some islands; however the sites were abandoned during the 1950s-1970s (Prokosch 1984).
Distribution throughout the annual cycle: Spring migration	Before c. 1990, pinkfeet stayed in Denmark until the first week of May and then migrated non-stop to the spring-staging grounds in Vesterålen and Lofoten in north Norway. However, since then, increasing numbers of geese have discovered and exploited areas in Trondheimsfjorden in mid Norway (Fig. 3). The start of the spring migration from Denmark has advanced by more than a month, which has been enhanced by the advancing spring (Madsen et al. 1999; Tombre et al. 2008). Nowadays, the majority of the population stops in Trondheimsfjorden during a 2-4 week period, with numbers peaking between late April and mid-May, before their onward migration to Vesterålen. Vesterålen is used during May, with peak numbers during the second and third week. The majority leave Vesterålen for Svalbard around 15-22 May. In Svalbard pre-nesting stopover areas are found along the southwest coast of Spitsbergen, with Adventdalen being the site with the highest numbers. Geese arrive around mid-May and peak numbers are observed around 20-25 May,

after which they disperse to the nesting grounds (Glahder et al. 2006). Flocks of pinkfeet are observed migrating northwards through the Baltic, but it remains to be resolved whether this is a regular phenomenon and how many birds are involved.

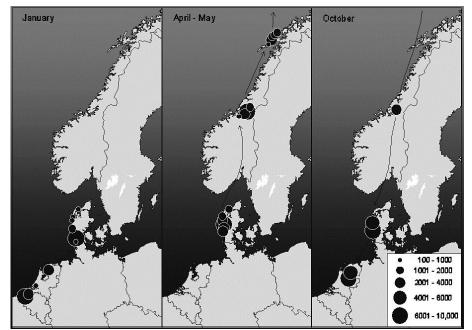


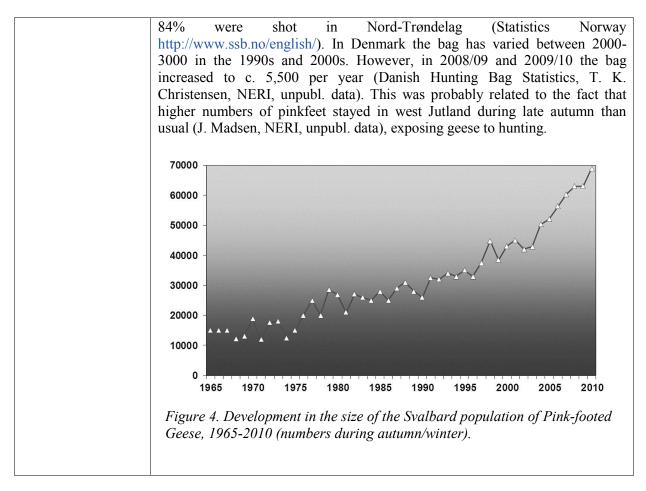
Figure 3. Distribution of Pink-footed Geese during the non-breeding period, based on counts of flocks in the range states (data from the late 1990s) (Madsen et al. 1999).

Generally, as the population has increased in size (see below), pinkfeet have expanded their use of sites on the staging areas as well as on the wintering grounds, although they have remained very faithful to their traditional core areas. There is evidence of increasing inter-species competition between pinkfeet and other goose species resulting in local displacement; in autumn with Greylag Geese *Anser anser* over spilt grain resources in stubble fields in Norway and, in particular, Denmark (Madsen 1985a, 2001, unpubl. data) during autumn, winter and spring with Barnacle Geese *Branta leucopsis* competing for grass in pasture fields (Madsen et al. in prep.) and with White-fronted Geese during winter (Kuijken & Verscheure 2008).

Habitat In Svalbard pinkfeet nest on islets off the coast and on inland tundra. High nest requirements: concentrations are found on cliff sides beneath grassy slopes, especially close to seabird colonies (Nyholm 1965; Norderhaug et al. 1964; Mehlum 1998), but Breeding also on south-facing slopes which become free from snow early (Madsen et al. 2007; Wisz et al. 2008a). On arrival to Svalbard, pinkfeet primarily feed on rhizomes and roots which they pull out of wet moss carpets (so-called grubbing) (Fox & Bergersen 2005; Fox et al. 2006). During nesting, territorial birds primarily feed in moss fens and after hatching, families feed on emerging vegetation in flood plains, moss fens and mesic tundra areas (Fox et al. 2007, 2008). During moult (non-breeding geese) flocks congregate along undisturbed coastlines, on large lakes and rivers where they can feed on wetland vegetation in proximity to open water. During moult and post-hatching, pinkfeet are extremely wary, avoiding sources of disturbance (people on foot) at a distance of 1-2 km (Madsen et al. 2010).

Habitat requirements: Non-breeding season	A site used by pinkfeet is characterised by a night roost which is usually a lake, a sheltered bay or tidal mudflats which provide safety against mammalian predators and human disturbance (including hunting) and a surrounding open landscape where they can feed during daytime. However, in the Oostkustpolders, Belgium, pinkfeet almost exclusively roost on the wet grasslands. This is probably due to the lack of red foxes until the mid-1980s; so this traditional behaviour was fixed before red foxes gradually expanded their range from eastern parts of Flanders since the 1990s. Also the low intensity of human disturbance as a result of the national goose shooting ban since 1981/82 is a key factor in the pinkfeet roosting and foraging behaviour, as well as the designation of protected areas (Kuijken 2005; 2010, Kuijken et al. 2005, 2006; Kuijken & Meire 1987; Kuijken & Verscheure 2005, 2007, 2008; Meire & Kuijken 1991). As pinkfeet became less shy due to the absence of hunting, they now can utilise feeding grounds closer to roads and buildings, resulting in increased carrying capacity of the traditional wintering grounds (Kuijken et al. 2001).
	The foraging habitat varies with the season (Madsen 1984; Fox et al. 2005). During autumn in Norway and Denmark pinkfeet primarily forage on stubble fields, in the Netherlands on grassland, but recently also on waste maize (Cottaar 2009). In Belgium pinkfeet always preferred permanent grasslands (Kuijken 1969, 1975, 1981) but since the 1990s they gradually increased the use of winter wheat and sown grass ( <i>Lolium multiflorum</i> ) for silage in early spring. These are quite vulnerable crops, often developed on former grasslands within the traditional goose wintering grounds (Courtens et al 2005). In recent years pinkfeet have adapted to forage on maize stubble and harvested potato fields (or fields where harvest was impossible due to extreme wet or cold weather conditions). Afterwards they return to mainly grassland use (Kuijken & Verscheure 2008 and in prep.). This new behaviour can reduce the intensity of grazing on more vulnerable crops, but the presence of grasslands remains the primary condition.
	During winter in Denmark they use a mixture of grasslands and winter cereal fields, the latter especially during cold spells (Therkildsen & Madsen 2000). During spring (in both Denmark and Norway) pinkfeet feed on pastures and, as sowing of spring cereal commences, on newly sown cereal fields where they pick the grain (Madsen 1986; Madsen et al. 2007). In Trondheimsfjorden in Norway pinkfeet also feed on stubble fields (harvested in the previous autumn), as well as un-harvested fields (too wet to be harvested in the previous autumn) which are ploughed during spring.
	Pinkfeet prefer to feed within a few kilometres from roost sites, but in extreme cases they can fly long distances, up to 20-30 km between roosts and foraging areas. Because the geese are generally very shy and occur in big flocks, they need to have access to multiple adjacent feeding areas in case of disturbance. During their stay in Vesterålen, in spring, pinkfeet forage on a narrow stretch of lowland pastures and they respond behaviourally; tolerating human activity, probably due to their high energy and nutrient demands prior to breeding (Madsen 1998). However, due to increasing agricultural conflicts (see below) with farmers scaring off geese, pinkfeet have become shyer; hence not able to utilise the small fields efficiently and ultimately unable to build up energy stores (Madsen 1995; Madsen et al. in prep.).

Population dynamics: Survival	Based on an analysis of ring recoveries, Ebbinge et al. (1984) calculated that the annual adult survival rate of Pink-footed Geese increased from 0.71 during 1955-1974 to 0.85 during 1975-1983. The increase in survival was ascribed to protection from hunting in the Belgian and Dutch wintering grounds (gradually implemented during 1968-1976). Based on capture/resightings of neck-banded individuals, Madsen & Noer (1996) estimated annual adult survival rate was 0.84 during 1990-1996. Subsequent capture-resighting analyses has given similar estimates (Madsen et al. 2002; Kéry et al. 2006). Signs of a decrease in annual survival shown in Madsen et al. (2002) was not confirmed in the longer time series, hence there is no suggestion of a recent change in adult survival (Kéry et al. 2006). In years with mild winters, the survival rate increases. On a seasonal basis mortality is highest during autumn and summer (Madsen et al. 2002).
Population dynamics: Productivity	Age counts (random counts of the number of juveniles compared to older geese in the flocks) and recording of brood sizes in family groups have been carried out almost every autumn in the Netherlands and Denmark since 1980. The proportion of juveniles has varied between 5% and 30% annually (average of 14.3%); with a significant decrease with increasing population size (Trinder & Madsen 2008). Average brood size (recorded during 1980-85 and from 1991 onwards) has also declined significantly with increasing population size, with an average of 1.91 juveniles per family during autumn. Studies on the breeding grounds have shown that snow cover at the start of egg laying (late May) is a critical determinant of the number of geese which nest, their nest success, the number of young produced and ultimately the proportion of juveniles in the population (Madsen et al. 2007; Madsen unpubl. data). In years with early snow melt the number of young produced may thus be tenfold the number produced in a late season.
Population dynamics: Population size and trends	The population seems to have increased from approximately 10,000-12,000 individuals in the 1930s-1950s to 15,000-18,000 in the 1960s-mid 1970s, from 15,000-18,000 to 25,000-30,000 individuals in the 1980s, from 25,000-30,000 to 32,000-40,000 in the 1990s, and from around 40,000 to 69,000 in the 2000s (Madsen 1982, Ganter & Madsen 2001, J. Madsen unpubl. data) (Fig. 4). Since the mid 1960's, the average annual growth rate has been c. 3.1%, with no change over time (Trinder & Madsen 2008). The fact that both proportion of juveniles and brood sizes have decreased with increasing population sizes suggests some sort of density-dependent regulation on productivity, but not sufficient to be apparent at the population growth rate which has not changed with increasing population size (Trinder & Madsen 2008). On the basis of the above findings, two predictive population models were run on the basis of data for the period 1980-2005: a density-independent and density-dependent model. The former predicted a population size at around 60,000 individuals (Trinder & Madsen 2008). Since 2005 the population has continued to grow, until now at a rate exceeding the expectations from the density-independent model.
Population dynamics: Hunting	The Pink-footed Goose is a quarry species in Norway, including Svalbard, and Denmark. In Svalbard a few hundred pinkfeet are shot each year. In mainland Norway around 500 pinkfeet were shot annually in the start of the 2000s. Since then the bag has increased to reach a hitherto peak in 2008 with 2600, of which



# **3. Potential Threats**

Potential threats to the pink-foot population have been categorised according to sources and perceived root causes (Table 1). Potential consequences have also been listed. However, since the Svalbard population of pinkfeet continues to grow, the overall assessment is that none of these threats are significantly impacting the population level at the moment, although they may become important in the longer-term future.

This section is not intended as a full risk assessment but merely outlines the anticipated actual / potential threats that the management framework may need to cope with. These threats may also vary between range states. Since the status of the population is dynamic, the management framework will need to incorporate various forms of risk assessment at the flyway and regional levels. A key part of the risk assessment will require stakeholder input (there are always differing perceptions of risk) as well as monitoring to enable management plans to adapt to these changing threats. In addition, some threats may be seen as opportunities in certain circumstances and time scales e.g. climate change could also increase the breeding habitat available due to a decrease of snow and ice cover, whilst increasing red fox numbers may naturally regulate the population.

Potential threat	Root causes	Possible consequences
Habitat loss		
Arctic habitat succession due to northward moving shrub and taiga	Climate change	Decrease of breeding range Decrease of population
Mismatch of breeding cycle to resource availability and quality	Climate change	Decrease of breeding output
Sea level rise	Climate change	Loss of winter/spring feeding habitat, connectivity Increased competition for food Decrease in fitness
Land use change	Climate change, economic policies, agricultural intensification or abandonment, with regional variances (e.g. change of traditional permanent wet grasslands into fields by drainage and ploughing in Belgium, or overgrowing of grassland habitat in Norway)	Loss of winter/spring feeding habitat, connectivity Increased competition for food Decrease in fitness

Table 1. Potential threats to the Svalbard population of Pink-footed Goose, root causes and possible consequences.

[		
Physical development	Economic policies (urban and industrial development in formerly	Loss of winter/spring feeding habitat, connectivity Increased competition for food
	open landscapes causing physical loss and disturbance)	Decrease in fitness
Nature restoration <sup>1</sup>	Nature conservation	Loss of autumn and spring feeding
	policies, water runoff	grounds
	mitigation (local level	Loss of connectivity
	projects)	Increased competition for food
	T	Decrease in fitness
Inter-species competition	Increase in overlapping population sizes,	Loss of feeding habitat Loss of connectivity
	changing distributions	Increased competition for food
	changing distributions	Decrease in fitness
Hunting		
Harvest pressure	Lack of regulatory	Uncontrolled population decline
-	control on hunting	
	(adequate monitoring	
	and regulatory feedback)	
Crippling	Hunting performance	Uncontrolled extra mortality
		Long-term health effects
Illegal hunting	Lack of regulatory	Uncontrolled population decline
	control on hunting activities	Crippling
Hunting disturbance	Too high hunting	Displacement of geese from resources
	intensity (duration &	increased competition
	spatial organisation)	Energetic costs, decrease in fitness, which
		affect population dynamics
Disturbance		
Recreational activities	Numerous types of	Displacement from feeding or roosting
	human activities	habitat
	documented with	Energetic costs, decrease in fitness
	varying degree of impact	Nest failure
	(e.g. increasing tourism in the Arctic, water	
	sports, angling, bird	
	watching, dog walking)	
Intentional scaring	Increasing agricultural	Possible loss of body condition
	conflict	Loss of feeding habitat and connectivity
		Energetic costs, decrease in fitness
Diseases		
Avian influenza	Contact with high	Die-off of birds
Parasites, other diseases	densities of wild ducks	Population decline, risk to other bird
	and poultry	populations
	Climate change	

<sup>&</sup>lt;sup>1</sup> N.B. Most nature restoration projects are of benefit to the Pink-footed Goose population e.g. restoration of wet grasslands contributes to better local/regional connectivity and increases the carrying capacity within its range.

Natural predators				
Red Fox	Recovery of potential	Displacement from inland roost sites and		
	predator populations	feeding grounds		
	(e.g. in W. Flanders)	Egg predation (Norway)		
		Energetic costs, decrease in fitness		
White-tailed Eagle	Recovery of potential	Adult mortality		
	predator populations	Energetic costs, decrease in fitness		
Arctic Fox	Increasing population	Egg, gosling and adult predation		
	with climate change	Energetic costs, decrease in fitness		
Polar Bear	Climate change; changed	Egg predation in nesting colonies		
	behaviour of bears	Energetic costs, decrease in fitness		

# 4. Management Issues

The following issues were identified as problematic and requiring management measures to be put in place.

### 4.1 Agricultural Conflict

Increasing agricultural conflict has been registered in most of the present range states during recent decades. At present, conflicts are increasing in Norway in particular during spring, whereas in southern range states, the conflicts caused by pinkfeet are considered more stable. In Denmark conflicts have been partly alleviated due to the changed spring migration schedule by the population (Table 2). Nevertheless, agricultural conflicts remain a cause of concern with considerable economic costs. The changing habits of the geese and the continued population expansion make the situation more dynamic compared to the 1980s and 1990s.

Table 2. Agricultural conflicts caused by Pink-footed Geese in the four range states and management
measures taken to alleviate the conflict

Country	Crops affected	Relative scale of	Management measures
		problem	by authorities
Norway	Pasture grass (N and	High / Medium	Compensation to farmers
	Mid N) / new-sown	(increasing)	to allow geese feeding
	cereal (mid-N);		Increase hunting pressure
	spring		to reduce population size
Denmark	New-sown cereal /	Medium (decreasing)	Support with scaring
	winter cereal;		devices; baiting with
	spring		cereals to keep geese
			away from crops
The Netherlands	Pasture grass;	Medium	Compensation for
	Autumn / winter	(stable but small in	damage; accommodation
		comparison to other	areas for geese
		goose populations)	
Belgium	Winter cereals;	Medium	Compensation for
	winter	(trend uncertain)	damage available;
			awarded on case-by-case
			basis (change from
			juridical to administrative
			procedure)

#### 4.2 Maintenance of Range and Connectivity

The pinkfoot is traditionally extremely faithful to a limited number of sites and regions. Nevertheless, during the last couple of decades, the population has undertaken several changes in migratory routes and times and the use of staging grounds. Probable reasons for these changes are: scaring activities due to agricultural conflicts in certain regions such as Vesterålen in Northern Norway, disturbance due to hunting (Denmark in particular) and, more recently, nature restoration of important autumn staging areas which used to be farmland utilised by the pinkfeet, causing geese to leave Denmark and migrate onwards to the Netherlands. Range expansion and changes in migration schedules have probably also been caused by an increase in the population size, inter-species competition with other species such as

Greylag Geese and Barnacle Geese, as well as climate change. In some cases, the changes have caused a rapid escalation in agricultural conflicts. For example intensified conflict in the Netherlands during the autumn in the 1990s was due to earlier departure from Denmark, and increasing conflict in mid Norway during the last two decades is partly due to climate change-induced earlier departure from Denmark in spring. On the other hand, early spring departure to Denmark from Belgium reduces crop damage risks in Belgium.

In a recent spatial prediction of the winter/spring habitat availability of pinkfeet (Wisz et al. 2008a) it was concluded that there is still room for further distributional expansion within the known range. However, this does not take into account fragmentation of original habitat types such as wet grasslands which are turned into less favourable crop types in Flanders, wind turbines in the open landscape or effects of biotic interaction with other species of geese. These factors have to be considered in future evaluations.

#### 4.3 Overgrazing of Arctic Tundra Vegetation

During the last 10 years increasing signs of the impact of foraging pinkfeet on tundra vegetation in Svalbard has been observed. This is particularly due to the grubbing for roots and rhizomes in the wet moss carpets whereby geese pull out moss and food plants. This may in some areas create holes or craters which appear to regenerate at variable rates depending on wetness, patch size and the plant community (Speed et al. 2010); slowed down by the fact that geese year after year return to the same patches, grubbing on the edges of open patches. In other areas the foraging activity may cause a shift in vegetation composition with a decrease in moss cover and an increase in graminoids (grasses and sedges) (van der Wal et al. 2007). The extent of grubbed areas seems to be increasing with the increment in population size (Speed et al. 2009), although monitoring of this development is currently lacking.

#### 4.4 Disease Transmission/Carriers

Avian influenza: pinkfeet have very low prevalence of pathogens; however, increasing prevalence during late autumn and winter suggested that pinkfeet are in contact with dabbling ducks which have a higher prevalence (Hoye et al. 2011). There have been no reports of die-offs of pinkfeet which could be related to diseases.

Campylobacter bacteria: A localised outbreak in a local human community in mid Norway was suggested to be caused by pinkfeet using a drink water reservoir as a roost site, with consequent transmission of Campylobacter to the human population. Even though the causal relationship was not demonstrated the local authorities took the initiative to scare away the geese from the site as a precautionary measure.

# 5. Policies and Legislation Relevant for Management

A summary of international conservation and legal status of the Svalbard population of Pink-footed Goose is provided in Table 3.

#### **5.1 Global Conservation Status**

The Pink-footed Goose has been categorised a species of "Least Concern" using IUCN's global Red List criteria, although no distinction is made between the Svalbard-breeding population and the much larger Icelandic/Greenlandic population (IUCN 2010).

#### **5.2 International Conventions and Agreements**

#### 5.2.1 Convention on the Conservation of Migratory Species of Wild Animals (CMS)

The Pink-footed Goose is listed in Appendix II of the CMS. This appendix refers to migratory species which have an unfavourable conservation status or would benefit significantly from international cooperation organised by tailored agreements. Range States are obliged to work towards maintaining populations in a favourable conservation status<sup>2</sup>.

#### 5.2.2 The Agreement on the Conservation of African-Eurasian Migratory Waterbirds (AEWA)

AEWA is a regional agreement negotiated under article IV of CMS and operates with a flyway approach to conservation of populations. Parties to the Agreement shall take coordinated measures to maintain migratory waterbird species in a favourable conservation status or to restore them to such a status (AEWA article II, paragraph 1). To this end, they shall apply - within the limits of their national jurisdiction - the measures prescribed in the Agreement, together with the specific actions determined in the Action Plan. Any taking of migratory waterbirds must be conducted on a sustainable basis, taking into account the conservation status of the species concerned over their entire range as well as their biological characteristics.

According to the AEWA Action Plan (Annex 3 to the AEWA Agreement Text), parties shall cooperate with a view to developing single species action plans for populations which cause significant damage, in particular to crops. The Agreement Secretariat shall coordinate the development and harmonisation of such plans. Furthermore, according to the AEWA Strategic Plan 2009-2017 (Objective 2, Target 2.5), international harvest management plans shall be developed and implemented

- 1. population dynamics data indicate that the migratory species is maintaining itself on a long-term basis as a viable component of its ecosystems;
- 2. the range of the migratory species is neither currently being reduced, nor is likely to be reduced, on a long-term basis;
- 3. there is, and will be in the foreseeable future sufficient habitat to maintain the population of the migratory species on a long-term basis; and
- 4. the distribution and abundance of the migratory species approach historic coverage and levels to the extent that potentially suitable ecosystems exist and to the extent consistent with wise wildlife management.

 $<sup>^{2}</sup>$  CMS article I, paragraph 1(c):

<sup>&</sup>quot;Conservation status" will be taken as "favourable" when:

for two quarry species/populations by 2017. The Svalbard Pink-footed Goose has been selected as the first case.

Under the AEWA, the Svalbard population of the Pink-footed Goose is listed with a status in Column B, category 1 (population between 25,000 and 100,000; not being considered at risk).

The range states of the Svalbard population of the Pink-footed Goose, Belgium, Denmark, the Netherlands and Norway (as well as Germany and Sweden), are all Parties to AEWA and CMS.

#### 5.2.3 Ramsar Convention on Wetlands (1971)

The Ramsar Convention is an inter-governmental treaty that provides the framework for the conservation and wise use of wetlands and their resources through local, regional and national actions and international cooperation, as a contribution towards achieving sustainable development. The Convention requires that each contracting party designates at least one suitable wetland within its territory for inclusion in the List of Wetlands of International Importance.

The range states of the Svalbard population of the Pink-footed Goose, Belgium, Denmark, the Netherlands and Norway (as well as Germany and Sweden), are all Parties to the Ramsar Convention.

For each range state, the number of Ramsar sites for which Pink-footed Geese are part of the designation criteria has been listed (Table 4).

#### 5.2.4 EU Directive on the Conservation of Wild Birds (EC/2009/147)

The Directive relates to the conservation of all species of naturally occurring birds in the wild state in the European territory of the Member States to which the Treaty applies. It covers the protection, management and control of these species and lays down rules for their exploitation. Member States shall take the requisite measures to maintain the population of species at a level which corresponds in particular to ecological, scientific and cultural requirements, while taking account of economic and recreational requirements, or to adapt the population of these species to that level (Article 2).

The Pink-footed Goose is listed on Annex II, Part B: Owing to their population level, geographical distribution and reproductive rate throughout the Community, the species listed in Annex II may be hunted under national legislation. Member States shall ensure that the hunting of these species does not jeopardise conservation efforts in their distribution area. Being listed on part B means that the species may be hunted only in the Member States in respect of which they are indicated (in the case of pinkfeet: Belgium, Denmark, Ireland and the United Kingdom). Any Member State can issue derogations under Article 9 to deviate from the general protection regime, e.g. in cases of agricultural conflict.

For each range state, the number of EU Special Protection Areas for which Pink-footed Geese were part of the designation criteria has been listed (Table 4).

*Table 3. Summary of international conservation and legal status of the Svalbard population of Pink-footed Goose.* 

Global IUCN Red List status	European and EU Status	SPEC category	EU Birds Directive Annex	Bern Convention Annex	Bonn Convention Annex	AEWA	CITES
Least concern	Favourable	N/A	Annex II/2	Appendix III	Appendix II	Column B, category 1	Not listed

Table 4. Site and habitat protection measures in each of the four range states according to international regulations (EU Special Protection Areas and Ramsar sites).

Country	Number of sites of international importance for Pink-footed Goose (more than 1% of flyway population) and protection status of these sites
Norway	Seven areas of international importance are designated as IBAs with partial coverage of nature protected areas. One site, Nordre Øyeren in south Norway, is a Ramsar site.
Denmark	In total, 16 Special Protection Areas have been designated partly due to occurrence of Pink-footed Geese. Of these, 15 are also designated as Ramsar sites with Pink- footed Geese as part of the designation criteria. Generally, sites include roosts and some foraging areas; however, rarely the entire farmland foraging areas have been included. In most of the areas, shooting free areas are found, especially of roost sites.
The Netherlands	Natura 2000 areas for non-breeding birds <sup>3</sup> : Witte en Zwarte Brekken, Oudegaasterbrekken en Fluessen, Sneekermeer and Frysian IJsselmeer areas
Belgium	The majority of the traditional pinkfoot wintering grounds in the Oostkustpolders are situated in two SPAs (and partly in one SAC under Habitat Directive); the recent but temporary use of croplands occurs mostly outside the Natura 2000 sites. Both SPAs are partly protected as nature reserves. Two Ramsar sites included in SPAs (Zwin area and IJzer valley) are of less importance for pinkfeet. Pinkfeet are considered as 'ambassadors' of the Flemish polder landscape The designation of the coastal polders as a Ramsar site (because of international importance for pinkfeet a.o.) has been proposed but was never realised.

#### 5.3 National Laws, Policies and Ongoing Activities

#### 5.3.2 National Nature Conservation Policies and Hunting Status

It is beyond the scope of this framework document to present all national laws, policies and management plans of relevance to Pink-footed Geese. A summary is provided in Table 5.

A brief overview of ongoing management plans and actions is provided in Table 6.

<sup>&</sup>lt;sup>3</sup> The Natura 2000 areas in the Netherlands are roost sites, with feeding areas outside.

Table 5. National conservation, hunting status and seasons and bag statistics for Pink-footed Geese in	
the range states.	

Country	Status in national Red Data book	Hun- ting Status	National open season <sup>(a)</sup>	Regio- nal open season	Annual bag size	Annual Statutory Bag Statistics	Responsible national authority
Norway - Svalbard	Least concern	Но	-	20.08 – 31.10	200 - 500	Yes	Governor of Svalbard
Norway – mainland	Not assessed	Но	10.08 – 23.12	-	2,600 (2008)	Yes	Ministry of the Environment
Denmark	National responsib- ility species	Но	01.09 – 31.12 / 31.01 (at sea)	_	c. 5,500 (2008/09 & 2009/10)	Yes	Ministry of the Environment
The Netherlands	not listed	Р	Not applicable	_	-	_	Ministry of Economic Affairs, Agriculture & Innovation
Belgium	Protected, no red list for wintering birds	Нс	Closed	_	_	_	Flemish Government: Ministry of Environment, Nature and Culture

Key:

P = protected & not huntable according to EU Birds directive annex II/2

Ho = species is huntable and open season declared

Hc = huntable species but no open season

Notes: <sup>(a)</sup> In none of the countries where hunting is allowed do bag limits apply

Country	Title	Category	Hunting actions	Habitat / species actions	Other actions
Norway - Svalbard	Goose Map: a mapping tool to support management	R	-	-	r, s, p
Norway – mainland	Norwegian Agricultural Authority subsidy agreement (Nordland & Nord-Trøndelag)	R	_	a, d, c	r, s, e
Norway – mainland	Regional management plan for Pink-footed Geese in Nord- Trøndelag	Ι	c	d, c	r, p, s
Denmark	West Jutland feed baiting scheme	R	-	c, d	r, p, s
Denmark	National Crippling Action Plan	R	0	m	r, p, s
Netherlands	Fauna Management Policy Framework – for overwintering geese & wigeon including compensation for crop damages by Faunafonds	Ι	g	a, d, s, c	s, p
Belgium	Flanders Bird Atlas for location of windfarms	Ι	g	h, a, d, s, $c^4$	r, s, p, e

Table 6. Brief overview of management measures currently underway, which affect Pink-footed Geese in range states.

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NEI	

KEY:	
Category:	Hunting actions:
R = restricted measure,	g = general hunting ban,
I = integrated management plan.	b = bag limits,
	r = regional hunting ban,
Action status:	s = shortened hunting period,
C = completed,	d = limit to hunting days,
P = in progress,	h = limit to hunting hours,
F = planned in future.	c = coordinated regional hunting management,
	o = other.
Habitat/species actions:	Other actions:
h = habitat improvement,	r = research,
a = modifications to agricultural activity,	p = public awareness,
m = minimisation of adverse effects of harvesting, roads, etc.,	e = education campaigns,
p = predator control,	s = survey,
d = prevention of disturbance,	census and monitoring,
s = site safeguard,	o = other.
c = compensation/subsidy schemes and other measures e.g.	
intentional scaring to reduce agricultural conflicts	
o = other.	

<sup>&</sup>lt;sup>4</sup> Compensation package available; awarded on case-by-case basis

# 6. Framework for Action

As outlined in the scope, this document is a first step in the process of implementing an adaptive international species management plan which, in reference to Appendix 3, requires setting up a management framework. This includes agreement on the following goal, objectives and key actions, captured at the first international stakeholder workshop (November 2010) and subsequently expanded upon. In Table 7 the steps in the process are outlined, and the current position is indicated.

Table 7. Operational steps in the adaptive management process. From Williams et al. (2009). The present draft document covers the first steps in the Set-up phase.

#### **Adaptive Management - Operational Steps** Set-up phase Step 1 - Stakeholder involvement Ensure stakeholder commitment to adaptively manage the enterprise for its duration $\geq$ Step 2 - Objectives Identify clear, measurable, and agreed-upon management objectives to guide decision making and evaluate management effectiveness over time $\triangleright$ Step 3 - Management actions Identify a set of potential management actions for decision making Step 4 - Models Identify models that characterize different ideas (hypotheses) about how the system works $\geq$ Step 5 - Monitoring plans Design and implement a monitoring plan to track resource status and other key resource attributes Iterative phase Step 6 - Decision making Select management actions based on management objectives, resource conditions, and enhanced understanding *Step 7 - Follow-up monitoring* Use monitoring to track system responses to management actions Step 8 - Assessment Improve understanding of resource dynamics by comparing predicted vs. observed change in resource status Step 9 - Iteration $\geq$ Cycle back to Step 6 and, less frequently, to Step 1

#### 6.1 Goals and Objectives

# Goal: To maintain the favourable conservation status of the Svalbard Pink-footed Goose population at flyway level while taking into account economic and recreational interests.

The intent of this international species management plan is primarily focused on the biological dimension of maintaining the Svalbard Pink-footed Goose in favourable conservation status, yet it also recognises a social dimension along with the consequences of wildlife-human interaction. The overall goal emphasises that these dimensions need to be addressed. To achieve this goal the following set of objectives have been established in consultation with national authorities and key stakeholders.

#### **Objectives:**

- I. Maintain a sustainable and stable Pink-footed Goose population and its range.
- II. Keep agricultural conflicts to an acceptable level
- III. Avoid increase in tundra vegetation degradation in the breeding range.
- IV. Allow for recreational use that does not jeopardize the population.

#### To attain the above objectives, the following key actions are essential:

- 1. Implement an adaptive management framework and modelling concept for the flyway population<sup>5</sup>.
- 2. Maintain a population size of around 60,000, within a range to prevent the population from collapsing or irrupting, respectively. To be agreed and reviewed on the basis of rigorous scientific evaluation and stakeholder consultations as part of the adaptive management process.
  - a. Optimise hunting regulations and practises to regulate the population size if needed and in range states where hunting is permitted.
  - b. Prevent establishment of breeding colonies on mainland Norway.
- 3. Ensure sustainable hunting<sup>6</sup> where practised (at present in Norway and Denmark) and following 'wise use'<sup>7</sup> principals, whilst ensuring that crippling rates are kept at a minimum level.
- 4. Maintain and enhance spatial management to ensure that Pink-footed Geese can fulfil their ecological requirements throughout their annual cycle<sup>8</sup> and allowing for their natural annual migration pattern. Any of the following measures should not jeopardise this:
  - a. Agricultural/environmental policies and subsidy schemes which adversely impact the above (those that result in significant habitat loss e.g. conversion of traditional feeding grounds to other non-beneficial agricultural crops).
  - b. Land use and agricultural practices which unduly influence the ecological requirements of the geese.
  - c. Containment and exclusion tactics (provision of goose feeding areas, scaring, shooting) which unduly influence population distribution and dynamics.
  - d. Recreational activities and infrastructure development.
- 5. Support the evaluation and optimisation of national and regional compensation/subsidy schemes, or accommodation policies and alternative non-consumptive methods to minimise agricultural conflicts in the range countries.

<sup>&</sup>lt;sup>5</sup> See Appendix 2 for an outline of the adaptive management framework and process.

<sup>&</sup>lt;sup>6</sup> Guidelines on sustainable harvest of migratory waterbirds; AEWA Conservation Guidelines No. 5. Technical Series No. 19.

<sup>&</sup>lt;sup>7</sup> Guidance document on hunting under Council Directive 79/409/EEC on the conservation of wild birds "The Birds Directive" 2008 (Chapter 2.4).

<sup>&</sup>lt;sup>8</sup> Annual ecological requirements defined by their need for breeding, moulting, staging and wintering grounds, including a coherent network of roost and foraging areas at international, national and regional levels.

- 6. Support 'conflict mitigation' through the development of national and regional management plans that promote recreational uses such as tourism and hunting (where permitted or relevant).
- 7. Increase habitat available to Pink-footed Geese where there is no conflict (e.g. reduce disturbance on stubble fields in autumn or by restoration of grassland complexes which can reduce the feeding on crops or pastures).
- 8. Collect systematic data on the impact and extent of tundra degradation due to goose foraging in Svalbard.

A target population size of 60,000 individuals has been proposed, because this is the predicted longterm equilibrium population size in a demographic population model including density-dependent reproduction (Trinder & Madsen 2008). However, there is a need to undertake further scientific evaluation to set a level ensuring that the population is maintained in a favourable conservation status and can easily recover from catastrophic events. This new evaluation is a crucial part of the implementation phase of the plan. The scientific evaluation will be the basis for guiding adaptive management decision-making, which has to balance biological and societal interests such as the detrimental impact on tundra habitat and biodiversity along with recreational benefits and economic impacts. The proposed population target is based on current hypotheses and what is presently considered as a desirable conservation/management outcome, particularly expressed by the Norwegian authorities (reflected in a recent regional management plan for Pink-footed Geese in mid-Norway (Nicolaisen 2010)). It should be borne in mind that this is not a static outcome, but is a measurable indicator and threshold which will help determine the impact of management actions on the Pinkfooted Goose population. The population target is subject to change based on what will be agreed on by the range states, regarding new scientific evaluations and learning as the adaptive process develops.

The above objectives shall lead to a range of management actions, adopted by the range states. Wherever possible, objectives need to be testable and verifiable. In Table 8 a list of possible resulting actions and verifiable indicators is presented. This is to illustrate some of the possible activities which will follow from the objectives; however, at this stage they are suggestions, subject to modifications according to agreement on the objectives.

	bility	d range Standing e / MOP	/ in / in ational in range
	Responsibility	Designated range states AEWA Standi Committee / MOP	AEWA/Aarhus University in consultation with relevant national authority in range states.
	Means of verification	Acceptance by all range states and agreement to proceed. Presentation of the PfG ISMP <sup>10</sup> at the AEWA MOP in May 2012. Publication of the PfG ISMP by AEWA and relevant national authorities in the range states	Publication of management structure and composition. In consultation with range state authorities, regular action and review meetings planned and scheduled. Frequency and ad-hoc meetings to be confirmed as necessary.
	Timescale	Immediate	Short
oodies.	Priority	Essential	Essential
framework, including indicators, means of verification and responsible bodies.	International / national actions	PfG <sup>9</sup> International Species Management Plan agreed, along with its goal, 4 objectives and 8 key actions.	Establish management structure and group, along with review and feedback system at the international level. Relevant range state authorities (national or regional) will be responsible for implementation and enforcement within each range state, using existing structures/capacity or new
cluding indicators, means	Result	An adaptive management framework for the Svalbard population of the Pink-footed Goose has been agreed	Implementation of the PfG ISMP
framework, inc	Objective	/I	I+II+II + IV / 1

Table 8. Results to be achieved on the basis of the objectives (Roman I-IV) and key actions (number 1-8) for the international species management plan framework including indicators means of verification and responsible hadies

<sup>&</sup>lt;sup>9</sup> PfG: Svalbard population of the pink-footed goose <sup>10</sup> PfG ISMP: Svalbard Pink-footed Goose International Species Management Plan

Objective	Result	International / national actions	Priority	Timescale	Means of verification	Responsibility
		Predictive modelling tools developed, maintained and results communicated.	Essential	Short	Population target confirmed and communicated to relevant national authority in range states.	PfG International Working Group <sup>11</sup>
I+II+III / 2	A sustainable and stable target population is maintained If the threshold target is breached in one or other direction, a contingency review is enacted	Population monitoring If population size is outside the threshold for a number of consecutive years, the PfG International Working Group agrees to take the necessary action	Essential	Short	Population monitoring data published and data incorporated in to predictive models Alert Action Plan published, if required	PfG International Working Group
I+II+II1/2	Harvest management is optimised to maintain sustainable and stable population size	Predictive models to identify harvest impact on the population. Results communicated to relevant national authority in range states. Ensure international and national hunting regulations are agreed and adjusted accordingly.	Essential	Short	Publication of international / national hunting regulations.	PfG International Working Group Relevant national authority in range states.

<sup>1</sup> See Appendix 3 for a proposed organisational structure including the set of the set		he role and responsibility of an international working group
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Objective	Result	International / national actions	Priority	Timescale	Means of verification	Responsibility
		Collection of annual hunting bag statistics within PfG hunting range states.	High	Short	Publication of hunting bag statistics and data incorporated in to predictive models.	Relevant national authority in range states.
		Feedback information into predictive models.				International. national and local hunting associations
						PfG International Working Group
I+II+III / 2	No breeding by Pink- footed Geese on the mainland of Norway.	Development and implementation of program for eradication in Norway, as necessary.	Medium	Medium	National/local management plan published.	Relevant national authority in Norway.
IV / 3	Hunting is conducted in a sustainable manner	Promote 'wise use' hunting & 'best practices' for the organisation of PfG hunting at national and local levels.	High	Short	Publication of guidelines, training programs and local codes of conduct.	International. national & local hunting associations PfG International Working Group
		Ensure that the 'crippling rate' is kept at an agreed minimum within all PfG hunting range states.	Medium	Short	Monitoring data published and reported to relevant authorities and organisations.	Aarhus University / PfG International Working Group
		Maintain monitoring of proportion of population carrying shotgun pellets in tissue				

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Objective	Result	International / national actions	Priority	Timescale	Means of verification	Responsibility
1/4	The overall natural migration pattern, behaviour and seasonal distribution by the population are not disturbed by human activities.	Ensure human activities within range states do not adversely impact seasonal distribution pattern in areas of international importance for PfG, e.g. land use, agricultural practises and hunting Maintain regular monitoring & observations of geese in range states outside the breeding grounds. Evaluation of actions on distribution and PfG population size by monitoring and modelling.	High	Medium	Publication of arrival and departure dates, seasonal numbers at national/regional levels. Modelling evaluation published.	PfG International Working Group Relevant national authority in range states.
		Ensure status of protected areas is maintained and enhanced where appropriate.	High	Medium	Official documentation of national conservation plans, new information communicated / shared as necessary.	Relevant national authority in range states.
		Periodic review of relevant international / national policy initiatives likely to impact PfG migration pattern. Results communicated to relevant national authority in range states to support any adaptation action, if required.	High	Medium	Publication of relevant findings. Modelling evaluation published.	PfG International Working Group

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Objective	Result	International / national actions	Priority	Timescale	Means of verification	Responsibility
II / 4+5	National agricultural policies and subsidy /compensation schemes and alternative non- consumptive management actions are evaluated and learning is shared.	All range states endeavour to evaluate effects of national policies and subsidy/compensation schemes and alternative non- consumptive management actions to minimise agricultural conflicts at regular intervals. Monitoring of agricultural conflicts.	Medium	Medium	Publication and communication of relevant schemes and evaluation of level of conflict.	Relevant national authority in range states. PfG International Working Group
II+IV/6	National/local management plans are produced including development of recreational activities benefitting local communities	Ranges states endeavour to produce national/local management plans, ensuring recreational activities are established and evaluated at local level (economic and cultural value).	Medium	Medium	National / regional management plans published and shared.	Relevant national authority in range states PfG International Working Group
7 / II+I	Geese maximise the use of resources in areas where there is no conflict.	All range states support and actively facilitate the use of habitats and areas where there is no conflict and restore favourable habitat where desirable Evaluation of actions on distribution and PfG population size by monitoring and modelling	Medium	Medium	National / regional management plans published and shared. Monitoring results and model outputs are published.	Relevant national authority in range states PfG International Working Group
III / 8	Program to determine	A rigorous and scientific	High	Short	Publication of technical	Relevant Norwegian

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Objective	Result	International / national actions	Priority	Timescale	Means of verification	Responsibility
	impact and extent of tundra degradation.	monitoring program is in place. Determine and agree on acceptable levels of tundra degradation.			guidelines. Annual reporting and publication of data.	authorities and scientific institutions. PfG International Working Group
		If extent of tundra degradation is outside acceptable levels, the PfG International Working Group agrees to take the necessary action	High	Medium	Alert Action Plan published, if required	PfG International Working Group in conjunction with relevant Norwegian authorities

The Priority of each result / action is given, according to an evaluation of what is needed to deliver the fundamental objectives of the PfG International Species Management Plan: Essential; High; Medium; Low.

The Time scales attached to each Activity use the following criteria:

- Immediate: completed within the next year
  Short: completed within the next 1-3 years
- Medium: completed within the next 1 5 years.

Key:

#### **6.2 Organisational Structure**

Creating the appropriate organisational and management structures is viewed as critical to the success of an adaptive international species management plan. Accordingly, it is proposed this is an AEWAled coordinating mechanism for the implementation of the Svalbard Pink-footed Goose International Species Management Plan. There is a need for an international working group whose purpose is to facilitate, support and champion the development of The International Species Management Plan. In line with an agreed overarching goal and objectives, as set out above, it should guide both national and local management actions based on the principals of adaptive management. There must be sufficient institutional capacity and stability to ensure long-term collaboration in the iterative process of adaptive management. This structure should build on existing international and national institutions, volunteer networks etc. and needs to be action-orientated, transparent and accountable. A proposal for an organisational set-up, roles and responsibilities of the international working group and national level working groups is outlined in Appendix 3. The terms of reference for the international working group is to be defined and agreed upon, in consultation with the national responsible authorities from each range state, prior to implementation of the plan.

#### 6.3 Next Steps

The next steps (steps 3-5 in Table 7) before implementation of the Svalbard Pink-footed Goose International Species Management Plan are:

- Agreement on goal, objectives and key actions by range states.
- Agree upon the need for a PfG International Working Group. Terms of reference to be defined and agreed upon prior to implementation, in consultation with the range states.
- Identify and agree on potential management actions including actions at national level, wherever possible with testable hypotheses and integrated into a learning system.
- Start development of modelling tools for predicting outcomes of actions.
- Agree on a monitoring plan to capture the outcome of actions and to follow the trajectory of the population in response to the actions taken.

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# **Appendix 1 - Ongoing monitoring activities**

The Svalbard population of the Pink-footed Geese has been relatively well monitored on the staging and wintering grounds for the last couple of decades, with annual population counts, age ratio and brood size counts, a neckbanding-resighting program and systematic counts in the four range states. Furthermore, studies have been performed on the behavioural and habitat ecology of the geese throughout their staging and wintering range. Until recently, there was little systematic knowledge about breeding distribution and breeding ecology; however, thanks to an EU funded project (FRAGILE 2003-2006), much information has been gained.

Since 1990, a neckbanding-resighting programme has been in place, mainly based on capture of geese during spring when they aggregate in west Jutland, Denmark, however, in 2007 and 2008, supplemented by capture of families on Svalbard.

Variable	Start	Interval - Season	Responsibility
Population size – based on counts	1965	Annual -	Aarhus University (AU)
		Autumn/winter/(spring)	and collaborators
Population size – based on	1990	Annual - Autumn	AU
Peterson index			
Age ratio	1980	Annual - Autumn	AU
Brood size	1980	Annual - Autumn	AU
Survival - based on capture-	1990	Annual	AU
resightings			
Hunting bag - DK	1990	Annual	AU
Hunting bag - N	2000	Annual	Norwegian Stat. Office
Crippling rate by X-ray	1990	c. 3-y intervals - Spring	AU
Nesting population Sassendalen,	2003	2003-2006, 2007, 2010 -	AU
Svalbard		Summer	
Snow cover Sassendalen	2000	Annual - late May	AU
Site use - Norway	1990	Annual - Spring /autumn	NINA
Site use - DK	1980	Annual -	AU
		Autumn/winter/spring	
Site use - NL	1980?	Annual - Autumn/winter	SOVON
Site use - B	1959/60	Annual - Winter	Ghent University &
			INBO
Site use - G	1990	Annual - Winter	NABU
Site use - S	1980	Annual - Autumn/winter	Lund University
Site use - Svalbard	2000	Irregular ? - Spring	Longyearbyen OF
Body condition (API) - DK	1991	Annual - April	AU
Body condition (API) - N	1991	Annual - May	NINA/AU

# Appendix 2 - Adaptive Management Framework: A Brief Guide and its Application in the Context of the *Svalbard Pink-footed Goose International Species Management Plan*

# Introduction

As a tool for resource and habitat management, Adaptive Management is a relatively new concept which is gaining popularity amongst the conservation community.<sup>2</sup> However; there are many different interpretations of what it actually means in practice and degrees of success in its application. This document is intended as a brief guide, outlining some of the fundamental concepts and principals of adaptive management and the implications for the International Species Management Plan for the Svalbard Pink-footed Goose.

# What is Adaptive Management?

"An approach to managing natural systems that builds on learning—based on common sense, experience, experimenting and monitoring—by adjusting practices based on what was learned."<sup>3</sup>

The above quote encompasses many of the fundamental elements of adaptive management. In essence, adaptive management is seen to be 'learning by doing' and adapting management actions based on what is learnt.<sup>1</sup> Common sense and experience contribute to sound decisions but what differentiates adaptive management is that it requires the incorporation of scientific method into a management framework. It is not 'trial and error' or 'learn-as-you-go' management.<sup>1 & 4</sup> An adaptive approach requires regular monitoring of both the system and its response to management strategies, to adapt and improve them by undertaking an iterative cycle of: planning, modelling, implementation, monitoring, reviewing outcomes and adapting plans.<sup>1, 2, & 5</sup> The process is intended to systematically test assumptions in order to adapt and learn.<sup>2</sup>

The USDOI Technical Guide to Adaptive Management<sup>1</sup> offers a succinct overview:

"An adaptive approach involves exploring alternative ways to meet management objectives, predicting the outcomes of alternatives based on the current state of knowledge, implementing one or more of these alternatives, monitoring to learn about the impacts of management actions, and then using the results to update knowledge and adjust management actions"

Moreover adaptive management provides a decision framework for making good decisions where there is uncertainty about an ecological system and the impact of management plans. It requires a formal and structured process to reduce these uncertainties, through iterative learning that improves management over time.<sup>1</sup> This function of learning and adapting is enhanced through a participatory approach that necessitates partnerships between scientists, resource/conservation managers and other stakeholders, learning together how to create and maintain a sustainable resource system.<sup>1</sup> Experience in the United States has shown that local knowledge of managing habitats and resources is a vital source of learning that can contribute significantly in developing successful management actions and best practices.<sup>4</sup> Adaptive management necessitates long term collaboration throughout the iterative learning cycle. This promotes cooperative decision making where there is uncertainty, thereby increasing management effectiveness and the achievement of agreed-upon outcomes.<sup>1, & 2</sup>

Learning from management outcomes is an essential component of adaptive management, which is necessary in the face of uncertainty. Two subtly different forms of adaptive management have been

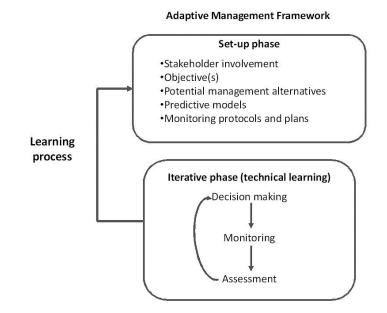
described, differentiated by their emphasis on learning through management actions.<sup>1, 2, 4 & 6</sup> These are 'passive' or 'active' adaptive management.

Both forms utilise management interventions in learning process, but they differ slightly depending on their emphasis between explicitly considering different management options to achieve management objectives and learning. Passive adaptive management primarily focuses on the achievement of management objectives with long-term monitoring and learning (if any) informing a gradually evolving management strategy; typically learning is an unplanned by-product of management actions and feedback mechanisms.<sup>1, 2 & 4</sup> Active adaptive management involves the active pursuit of learning, through experimental management that focuses directly on learning and the achievement of management objectives<sup>1</sup>. Active adaptive management has similarly been described as deliberately manipulating management proactively accelerates learning over time but it does require greater investment. Deliberate experimentation requires suitable replication and controls and is more expensive to implement, monitor and evaluate.<sup>1 & 2</sup>

Integral to adaptive management is the use of models. They serve as expressions of ecological understanding, as engines for deductive inference, and as articulations of resource response to management and environmental change.<sup>1</sup> They are intended as contrasting expressions of how a resource system works, comparing alternative courses of action and predicting responses to these actions. They enable management actions to be evaluated and adapted through the comparison of model predictions against monitoring data over time.<sup>1 & 2</sup> The use of good models is regarded as the foundation for a learning framework that assimilates current knowledge and is able to review and refine it.<sup>2</sup> Models can capture a shared understanding of an ecological system and bring different perspectives together from scientists, managers and other stakeholders. This collaborative approach places emphasis on the joint assessment of what is known about the system being managed and facilitates an interdisciplinary approach to understanding through monitoring and assessment.<sup>1 & 7</sup> Furthermore models must be understandable and actionable, often the simplest are the most effective and useful in reality.<sup>2</sup> Accordingly data collection should be focused on precisely the information expected to be most useful to the management decision, based on a sound biological understanding of the system, and the models focused on hypotheses about how the managed system responds to management actions.<sup>7</sup>

The diagram below is a graphical representation of an Adaptive Management Framework as described by the USDOI Technical Guide, which also offers this guidance.

"Adaptive management requires a much more open process of decision making, in which stakeholders are directly engaged and decision-making authority is shared among them. It also requires that objectives, assumptions, and the other elements of the decision-making process be explicit, and therefore amenable to analysis and debate. Finally, it requires a strong commitment by managers to the necessary monitoring and assessment that underlie adaptive management, not as marginal activities but as essential elements of the process."<sup>1</sup>



Source: Adapted from USDOI Technical Guide to Adaptive Management<sup>1</sup>

# The application of Adaptive Management in a European context

It has been commented that an adaptive management approach could not be usefully implemented for waterfowl management in Europe, as is believed that variation between the nations needing to be involved would preclude agreement on a framework for management, along with any proposed objectives and management actions.<sup>7</sup> One of the most successful and often referred to examples of adaptive management in action is the Adaptive harvest management of North American waterfowl. Increasingly adaptive management is being applied in a wider sociological-ecological context as a means to guide improved systems of natural resource management using a variety of management options. Well known examples are the adaptive management programmes of the Colorado River/Glen Canyon<sup>8</sup> and the Great Barrier Reef.<sup>5 & 9</sup> In Europe it is this broader application of adaptive management that is envisaged to create a successful management framework to guide: agricultural conflict resolution, range and habitat conservation and recreational interests, including hunting, across a flyway of range states. The very inclusive nature of adaptive management would seem to lend itself to such a situation. The fact that it is now recognised as a potential approach in the case of Pink-footed Geese is a considerable step forward.

The comments above do highlight several points that are worthy of note for the international species management plan for the Svalbard population of the Pink-footed Goose. The success of any management framework is dependent on a mandate to take action; in the face of uncertainty.<sup>6</sup> This requires an institutional structure and framework with an agreed overarching goal along with clear objectives. There must also be sufficient institutional capacity and stability to ensure long-term collaboration in the iterative process of adaptive management. The implementation of adaptive management actions may be instigated in different regional contexts. Nevertheless, stakeholders and implementing organisations must commit the necessary resources for monitoring and assessing the progress of management actions in achieving agreed objectives, over given time frames.<sup>4</sup> The institutional structure should champion overall learning and the sharing of this knowledge, which is central to an adaptive management approach.

As noted above adaptive management necessitates a structured approach and it is intended, for the International Species Management Plan for the Svalbard population of the Pink-footed Goose is to follow the '9 Step Approach' as described by the USDOI Technical Guide to Adaptive Management.<sup>1</sup> This is divided into 2 phases, with a set-up phase and an iterative phase as illustrated in the above diagram. Although these phases are considered separate, it is recognised that the learning process involves periodic reconsideration of all the adaptive management elements in order to take account of changing circumstances and to maintain stakeholder and political support. This maintains what is often referred to as the 'double-loop learning' cycle.<sup>1,7&10</sup>

The framework document that this document accompanies initiates this set-up phase as well as setting out a proposed management structure. It is the beginning of a long-term process that is envisaged to deliver an effective adaptive management framework for the Svalbard Pink-footed Goose population.

In summary successful adaptive management requires the following key elements:<sup>1</sup>

- 1. Stakeholder involvement
- 2. Agreed objectives
- 3. Management alternatives
- 4. Predictive models, and
- 5. Effective monitoring programs
- 6. Which must all be integrated into an iterative learning cycle.

These have been expanded upon slightly in the following pointers and is hoped to continue guiding the development of the International Species Management Plan for the Svalbard population of the Pink-footed Goose.

# **Pointers for Successful Adaptive Management**

*Stakeholder involvement:* Broad stakeholder involvement is needed from the start and throughout the iterative cycle: setting objectives, implementation, monitoring, evaluation and adaptation. This helps build support and learning at all levels of involvement. In addition this contributes to development of a 'learning organisation' that can capture the collective knowledge and learning of different groups and of individuals, which can be documented and used in the future.<sup>2</sup> As adaptive management is a long-term process commitment, motivation, patience and a desire to learn are also required.

*Agreed objectives:* A clearly defined goal must be established along with specific, measurable, achievable, results-orientated and time fixed (SMART) objectives. These must be integrated with monitoring and evaluation systems to serve as metrics for assessing management performance. It must be recognised that objectives may change over time, based on changes in social values or in the understanding of system dynamics.

*Management alternatives:* A set of management options should be considered which can achieve management objectives as well as progress learning. Learning is promoted by a wide range of management alternatives, but hampered by alternatives that differ only marginally. Management actions should also be selected on the basis they can help test and evaluate the systems dynamics that have been identified as important. This facilitates learning in systematic way and can involve treating management actions as experiments. The set of management alternatives may also evolve over time in response to new capabilities or constraint.

**Predictive models:** These should help facilitate an interdisciplinary approach to understanding the system's dynamics as well as predicting the outcomes of management actions. They should test the underlying hypothesis of management strategies and have explicit links between management actions and system dynamics, as well as calibrated with the available information monitoring these system

dynamics. The most effective models are often those that are simple, understandable and relevant to those who implement management actions.

*Effective monitoring programs:* Both monitoring and assessment should be designed to ensure that key system parameters are adequately measured and appropriately focused on the relevant performance indicators needed to gauge progress in meeting objectives and guide management decisions. Effective and useful monitoring is required for the hypothesis testing that leads to the reduction of uncertainty that is key to adaptive management. It requires commitment from managers, scientists, and other stakeholders in place to sustain an ongoing monitoring and assessment program.

*Iterative Learning:* Data collected as part of monitoring programs needs to be analysed and assessed in order to evaluate management actions, improve ecological understanding and adapt management actions in response to what is learnt. This allows managers to determine systematically whether management activities are succeeding or failing to achieve objectives. It is the iterative cycle that over time leads to improved management. This must not be limited to the decision making, monitoring and assessment phase and should involve periodic, but less frequently, recycling through all components of the adaptive management framework to allow for adjustments as stakeholder perspectives, institutional arrangements, and resource conditions evolve. Finally the iterative approach of adaptive management should promote 'institutional curiosity and innovation' whereby managers can question the efficiency, effectiveness and appropriateness of actions. Value the learning that comes from trying new interventions and should not be inhibited by failures, recognising them as valuable source of learning on the continuing path to improvement.<sup>2</sup>

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# Appendix 3 – Proposed Organisational Structure as Part of the Adaptive Management Framework

The organisational structure is envisaged to be a three layer set-up as follows:

- 1. PfG International Working Group
- 2. PfG National Working Groups (where deemed necessary by range states)
- 3. PfG Local Working Groups (where deemed necessary by range states)

### PfG International Working Group

This is an international coordinating body that oversees and guides the overall adaptive management process for the Svalbard Pink-footed Goose International Species Management Plan, working in collaboration with national/regional responsible authorities, and where implemented national and local working groups.

The purpose of this group is the development and maintenance of the international management plan. Following the adaptive management process, as outlined in Table 7, it will foster the acquisition of knowledge and understanding to guide management plans and actions, ensuring progress towards the overall goal and agreed objectives. It will need to periodically review the adaptive management process to take account of ecological, social and economic changes.

This will be a core working group of committed members who understand adaptive management and will promote the integrated, multi-disciplinary and collaborative approach. They should maintain an overview of the management process and its objectives, calling on specialists and other stakeholders through the iterative cycle. The core group should act as a conduit for knowledge helping to facilitate others understanding and practise of adaptive management.

### Role and responsibilities:

- 1. Support the continued development of the species management plan at an international level, following the principals of adaptive management, to which national and local plans are expected to adhere to; within the context of each range state's own national policies and plans. The international species management plan is anticipated to be a long-term process with biannual interim targets depending on management options implemented (e.g. population size, hunting regulations and other management targets as agreed by the range states).
- 2. Guide, review and advise national management plans to ensure these are implemented and applied as part of an integrated process that promotes the international species management plan objectives and helps achieve better management and learning.
- 3. Ensure adequate monitoring in order to effectively assess and evaluate the international species management plan along with national and local plans.
- 4. Develop and maintain adaptive management models that are based on a sound biological understanding and are focused on hypotheses about how the managed system responds to management actions. These must be understandable, actionable and relevant to stakeholders.
- 5. Collate and maintain key data resources provided by national stakeholders. Develop and standardise these where appropriate and necessary e.g. bag statistics, crippling statistics, proportion of habitat designated as 'no-go' and 'go areas', measures of goose-human conflict, tundra degradation and indicators of alternative recreational usage (eco-tourism) etc.
- 6. Undertake regular assessments and evaluations of national management plans and progress towards meeting the international species management plan objectives. Review monitoring data and make policy and management recommendations where adaptation is needed e.g. international hunting quotas, agro-environmental schemes, spatial and habitat requirements and other recreational policies (eco-tourism).

- 7. Ensure sufficient commitment and funding is obtained from range states and international organisations to maintain a sustainable species management framework and the long-term collaboration required for successful adaptive management.
- 8. Facilitate the sharing of knowledge, learning and the adoption of best practices throughout the flyway range states by:
  - a. Promoting and sharing the principals and practice of adaptive management.
  - b. Arranging periodic scientific and stakeholder conferences and review meetings at an international level.
  - c. Encouraging the active participation of national and local working groups to develop innovative proposals and alternative management actions in accordance with the international species management plan objectives.
  - d. Creating a documentation/knowledge store of plans and progress of international, national and local actions e.g. publishing of a 'Pinkfoot' outlook report or international species management plan review.
  - e. Create a website for efficient retrieval and exchange of information.

### Composition:

Official representatives:

• Representatives from all range states coming from relevant national/regional responsible authorities

Stakeholder representatives:

- International conservation organisation
- International hunting organisation
- International farming organisation

Experts:

• International/national Pink-footed Goose experts

### UNEP/AEWA Secretariat

Coordination - to be provided by a range state in consultation with the UNEP/AEWA Secretariat

### *Group size:* 13-15 members

*Meeting frequency:* Meetings to accommodate annual review process (virtual or physical meetings as deemed necessary) dependant on management actions implemented by each range state.

*Information structure:* Web based capacity for publishing policies, plans, scientific data and models and feedback mechanisms for stakeholders at all levels. This capacity may be restricted in some instances, with certain sections and information limited to operational groups. The overarching principal is to maintain transparency and accountability for the species management plan at international level that is open and available to all stakeholders as well as interested public.

### PfG National Working Groups

PfG National Working Groups may be set up to develop, implement, oversee and review national plans that support the achievement of the international species management plan goal and objectives, following the principals of adaptive management. Each range state may opt to implement these national groups as they see best to fit within existing management structures and institutional capacity.

This will be a working group of representatives from all the key national stakeholders. It should promote cooperative decision making and long-term collaboration amongst its members.

### Role and responsibilities:

- 1. Set up and support the development of national, and where appropriate local management plans, in accordance with the agreed international species management plan, following the principals of adaptive management. Management plans need to be transparent and accountable to participating stakeholders.
- 2. Ensure sufficient participation and commitment from key national stakeholders. In addition local stakeholders in conflict areas need to have a strong input to the development of local management plans to ensure their widespread acceptance.
- 3. Review, approve and co-ordinate local management plans that are deemed necessary.
- 4. Implement and maintain scientifically-robust monitoring programmes as required by the PfG International Working Group. Collate and submit key monitoring and national resource data that is relevant to the assessment and evaluation of the international species management plan.
- 5. Assess and evaluate national and local management plans and their progress towards meeting the international species management plan objectives. Submit findings to the PfG International Working Group.
- 6. Facilitate the sharing of knowledge, learning and the adoption of best practices within and between range states by:
  - a. Active stakeholder engagement throughout the adaptive management process along with appropriate review meetings at national level. Appropriate national representatives should attend international conferences and review meetings.
  - b. Encouraging the active participation of local working groups to develop innovative proposals and alternative management actions in accordance with the international species management plan objectives.
  - c. Share national documentation and assessments relevant to the international species management plan.

## Composition:

- 1. Representative(s) of relevant national environmental/wildlife agency (convener and chair)
- 2. National Pink-footed Goose experts
- 3. Representatives of national conservation organisations
- 4. Representatives of national farming organisations
- 5. Representatives of national hunting organisations

Group size: To be decided by national representatives.

*Meeting frequency:* To be decided by national representatives. Guided by the international species management plan and its objectives and actions. Annual communications dependant on management actions in place within each range state.

### Local PfG Working Groups

To be decided by range states but should follow the principals and structured decision-making process of the international species management plan.

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